



# Competing Commitments in Clinical Trials

Charles W. Lidz

Paul Appelbaum

Steven Joffe

Karen Albert

Jill Rosenbaum

Lorna Simon

# Why a Clinical Trial



- Effort to determine causation
- Does one treatment work better than another
- Control many variables
  - Expectations
  - Clinician biases
  - Clinical features of the subjects
  - Effects of other medications
  - Etc.

# Typical Structure of Trials



- Randomization of patients
- Tight control of who is eligible
- Blinding of subjects and providers
- Comparison intervention that is the best available treatment
- Limitations on dosages
- Limitations on other medications

# These features are essential



- Differences in effect between two treatments are often minimal
- The idea is to definitively determine causation and all other determinates must be controlled or eliminated.

# The Problem



- Most staff in clinical trials have clinical training
- They have a deep-seated commitment to their “patients” as most clinicians do.
- They generally, and mistakenly, think that the experimental intervention is likely to be much better for their subjects.

# The Question



- How do clinical trial staff manage their competing commitments to research standards and clinical commitments?

# Why does this matter?



- Clinical trials are the means by which we determine whether treatments are used
- Pharmaceutical companies, and universities, have enormous stakes in their outcomes
- You and I have an enormous stake in their outcomes

# Methods 1



- Use semi-structured interviews at UMass and Harvard to learn how clinical trial staff think and talk about these issues.
- Use data to develop a survey that can ask touchy questions and still leave subjects willing to answer



# Methods 2: Sample



- Drawn from contacts on patient oriented website - Centerwatch.com

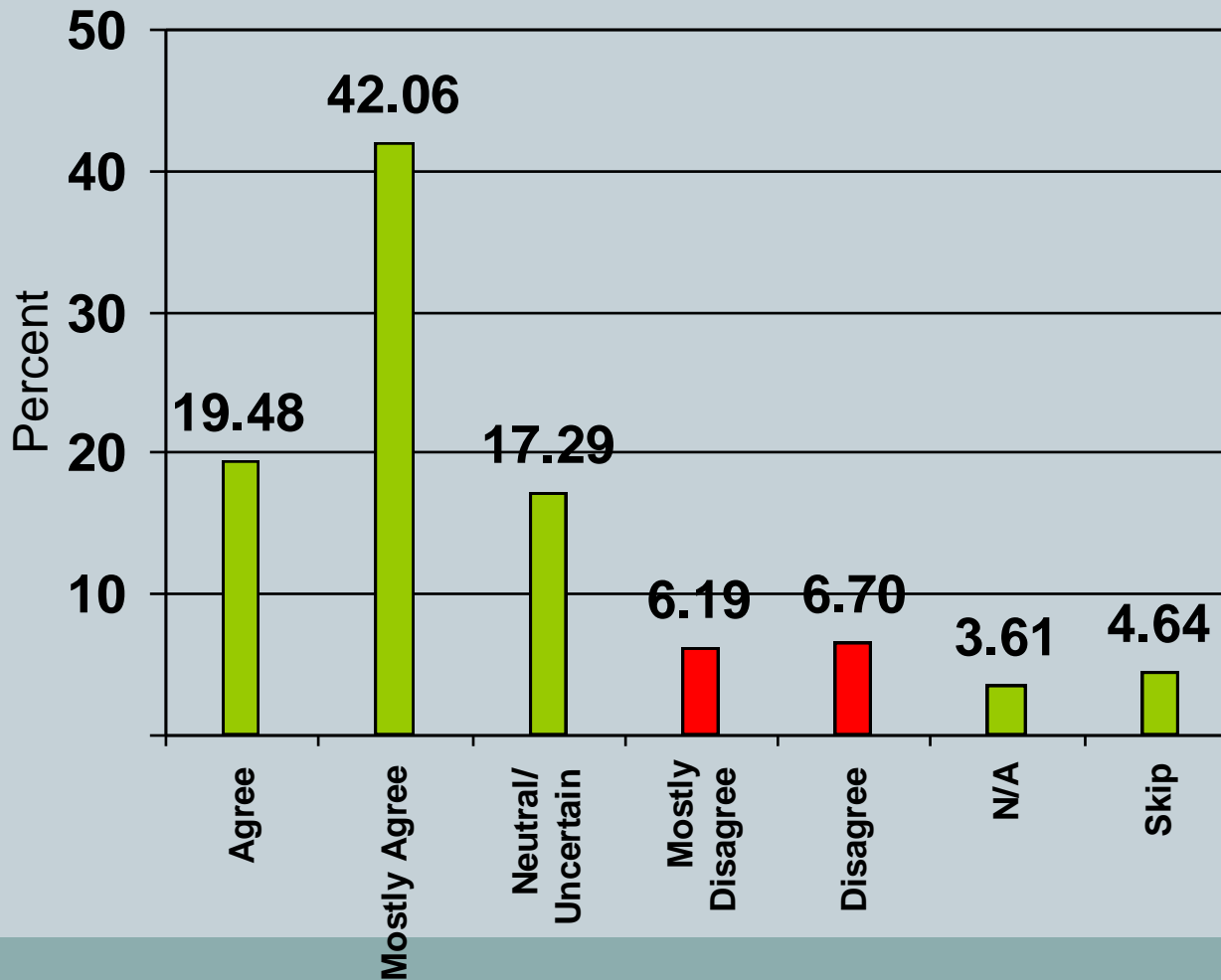
# Methods 3: Surveymonkey and Dilman Method



- Jill and Sue created a poster
- 6 rounds of different types of contacts
- Make the contacts as personal as possible
  - Real signed letters
  - Personal notes written on letters
  - Jill's interpersonal charm on the phone
- Response rate >75%

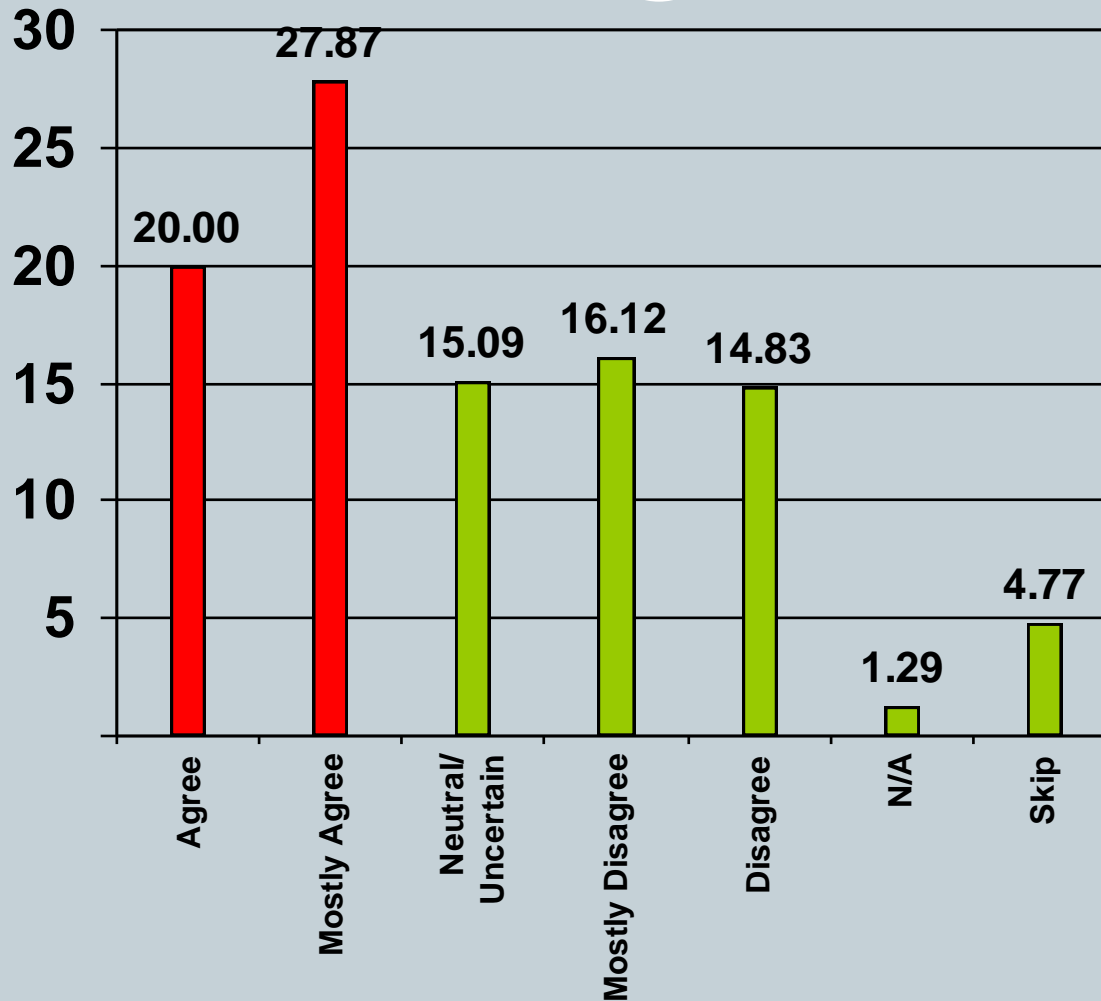
# Attitudes about Clinical Trials

Research centers should choose which trials to participate in based on how much the trials contribute to science.

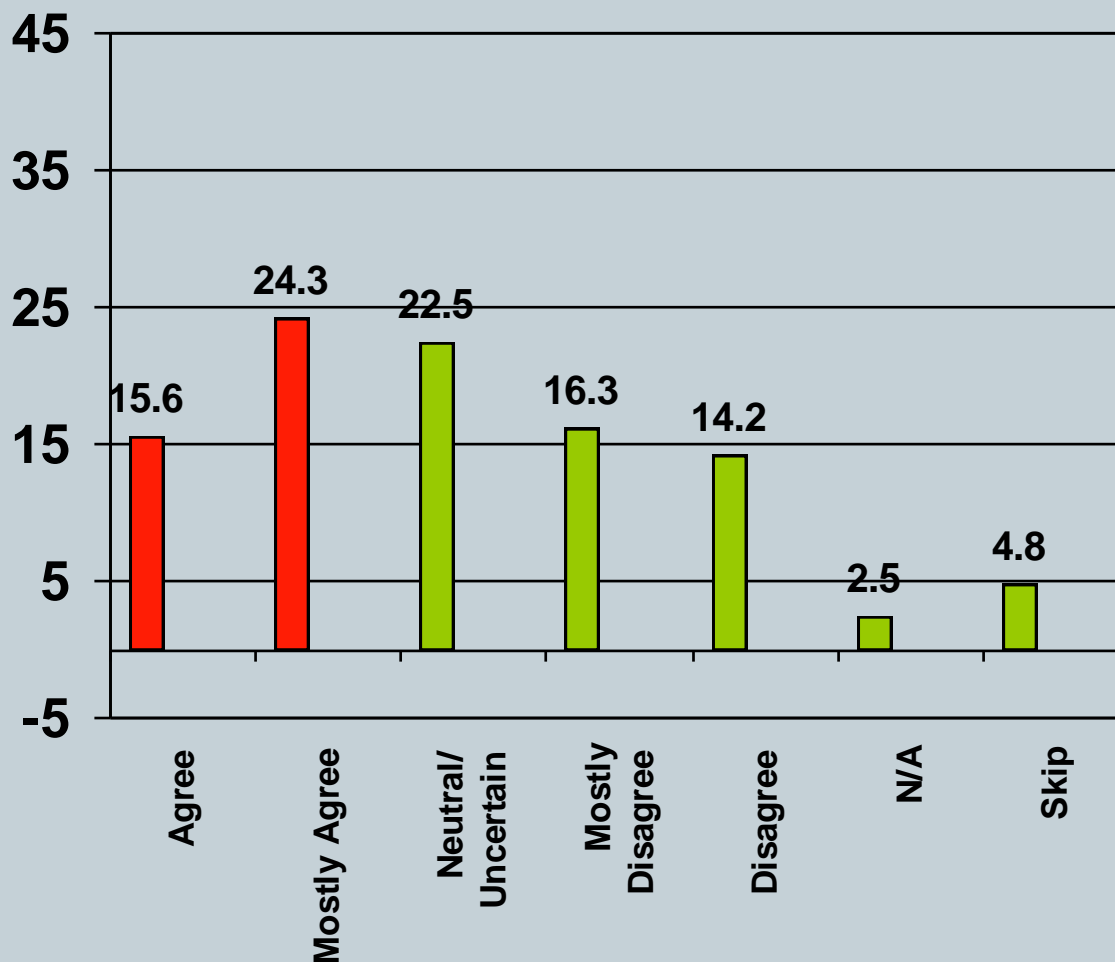


N= 777

Researchers should only participate in trials that are likely to help the subjects that take part.

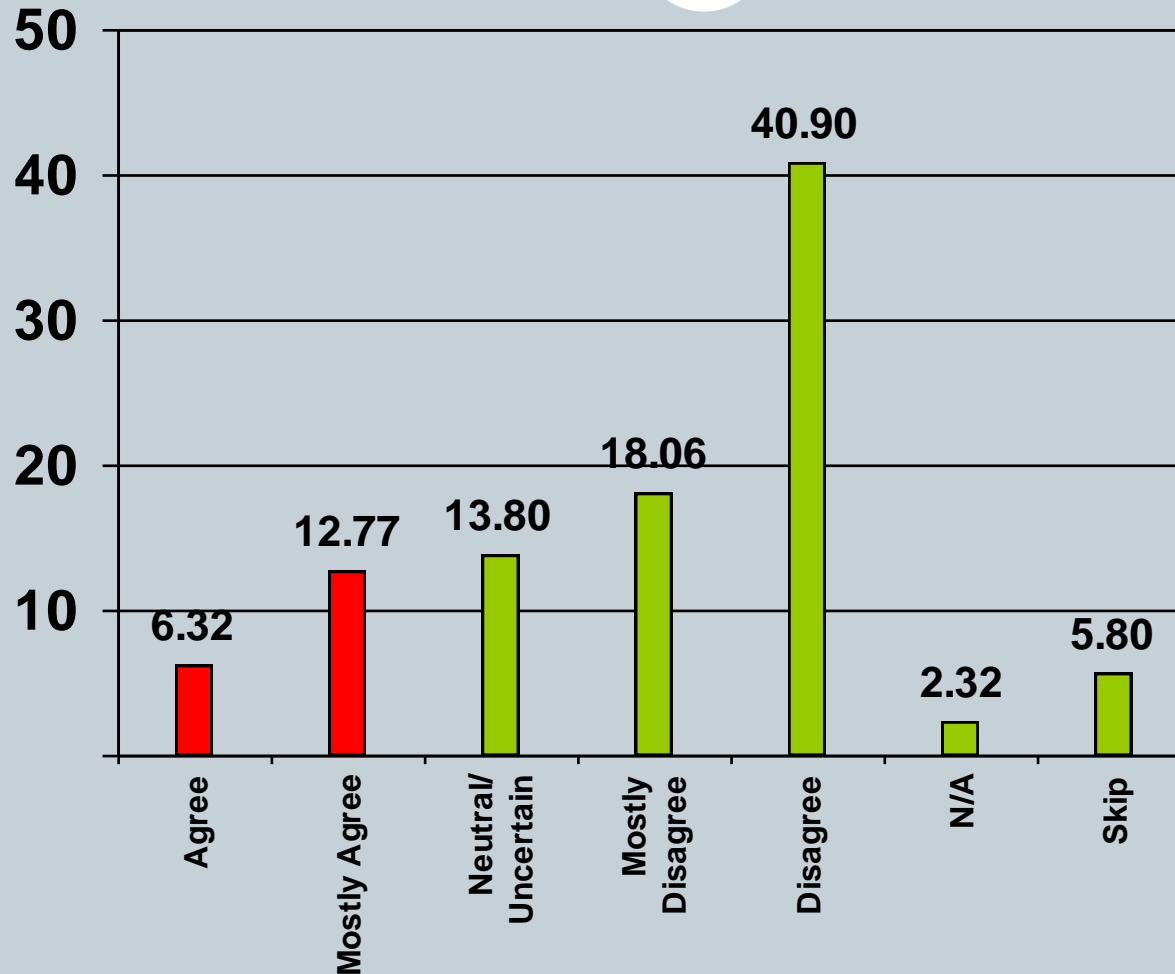


When several subjects at a site do considerably worse than would be expected in ordinary care, that site should stop recruiting for that study.



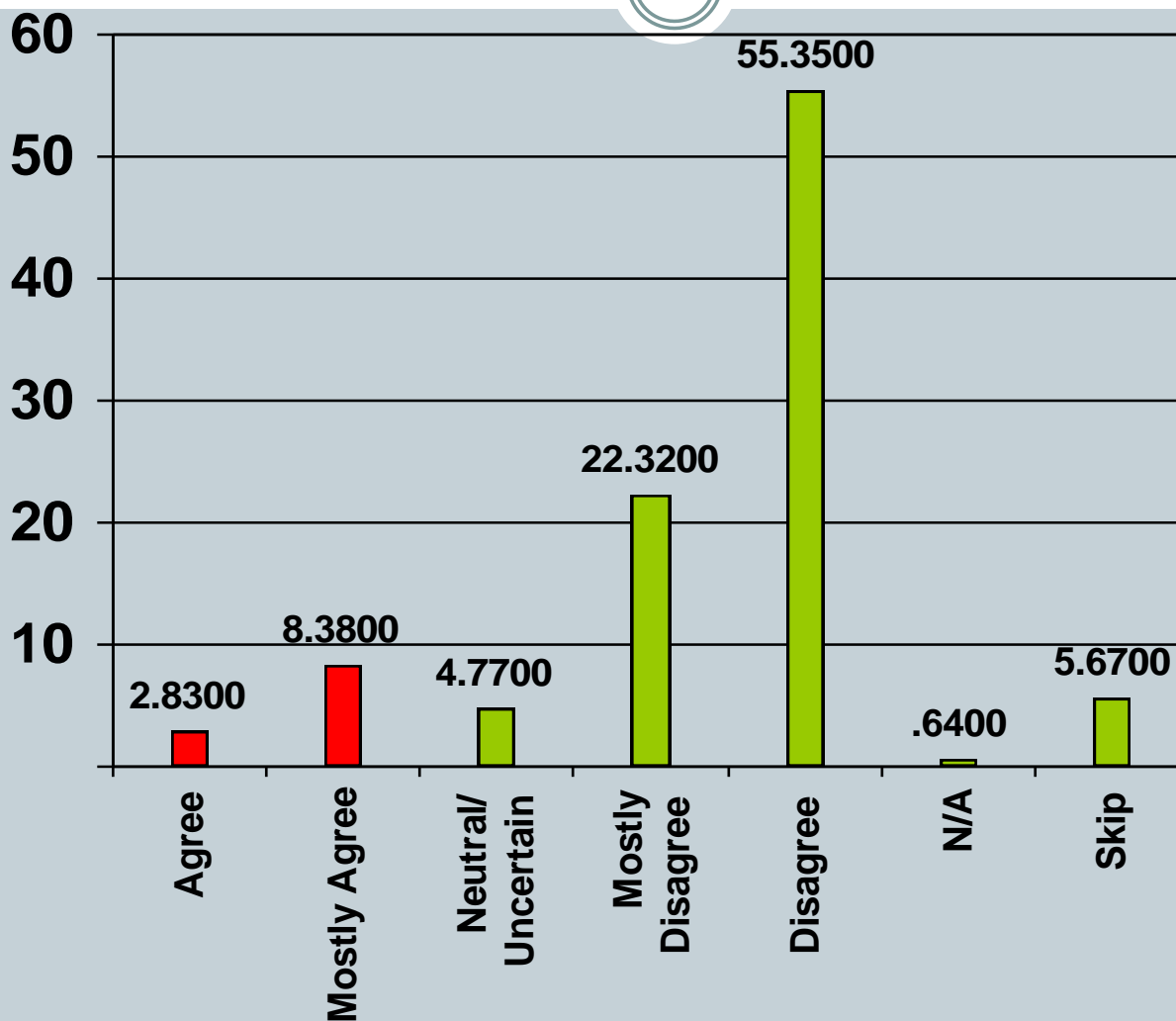
N= 777

Researchers should deviate from the protocol if doing so would improve the subject's medical care.



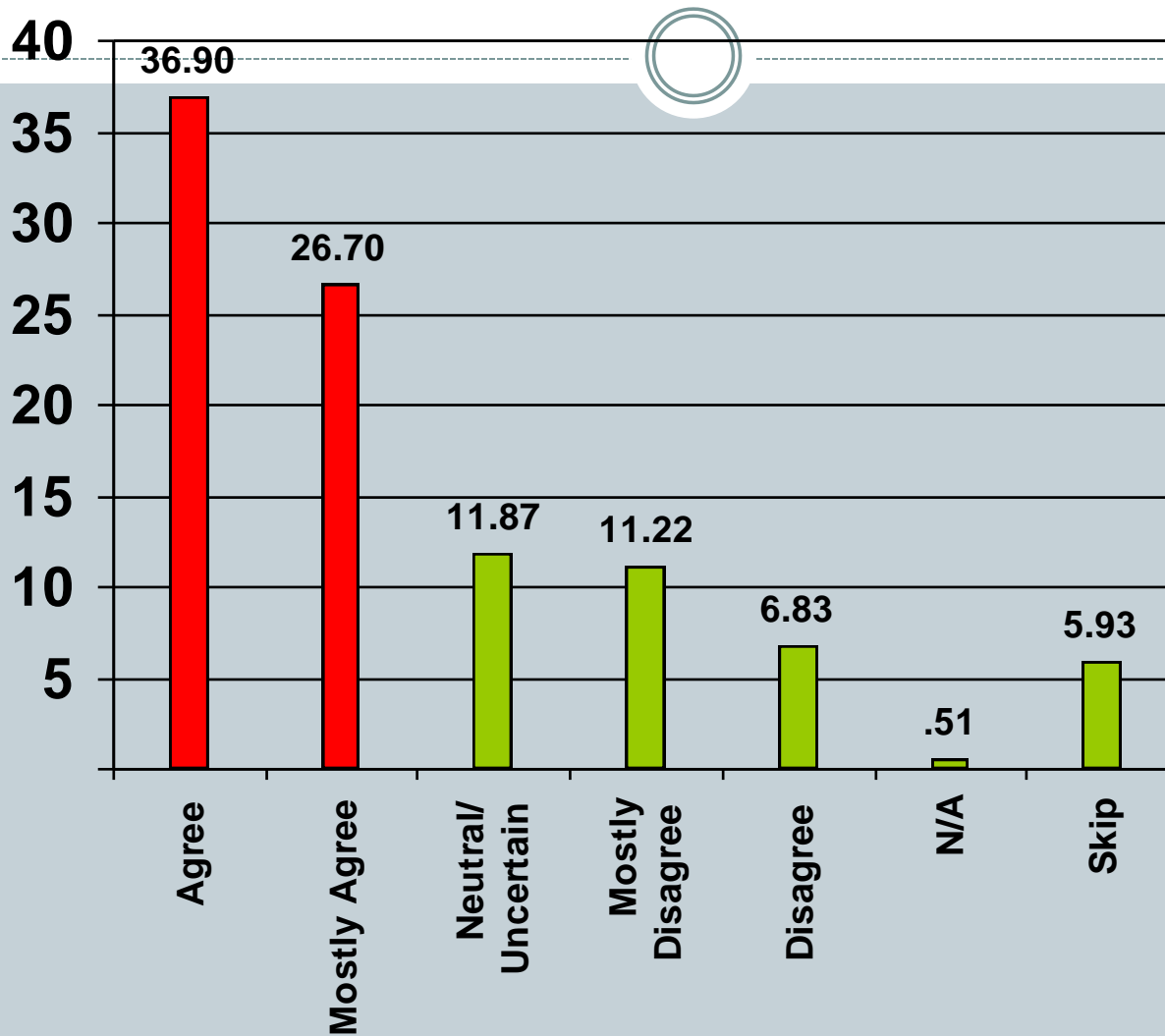
N= 777

The protocol should be used as a guideline rather than something to be strictly followed under all circumstances.



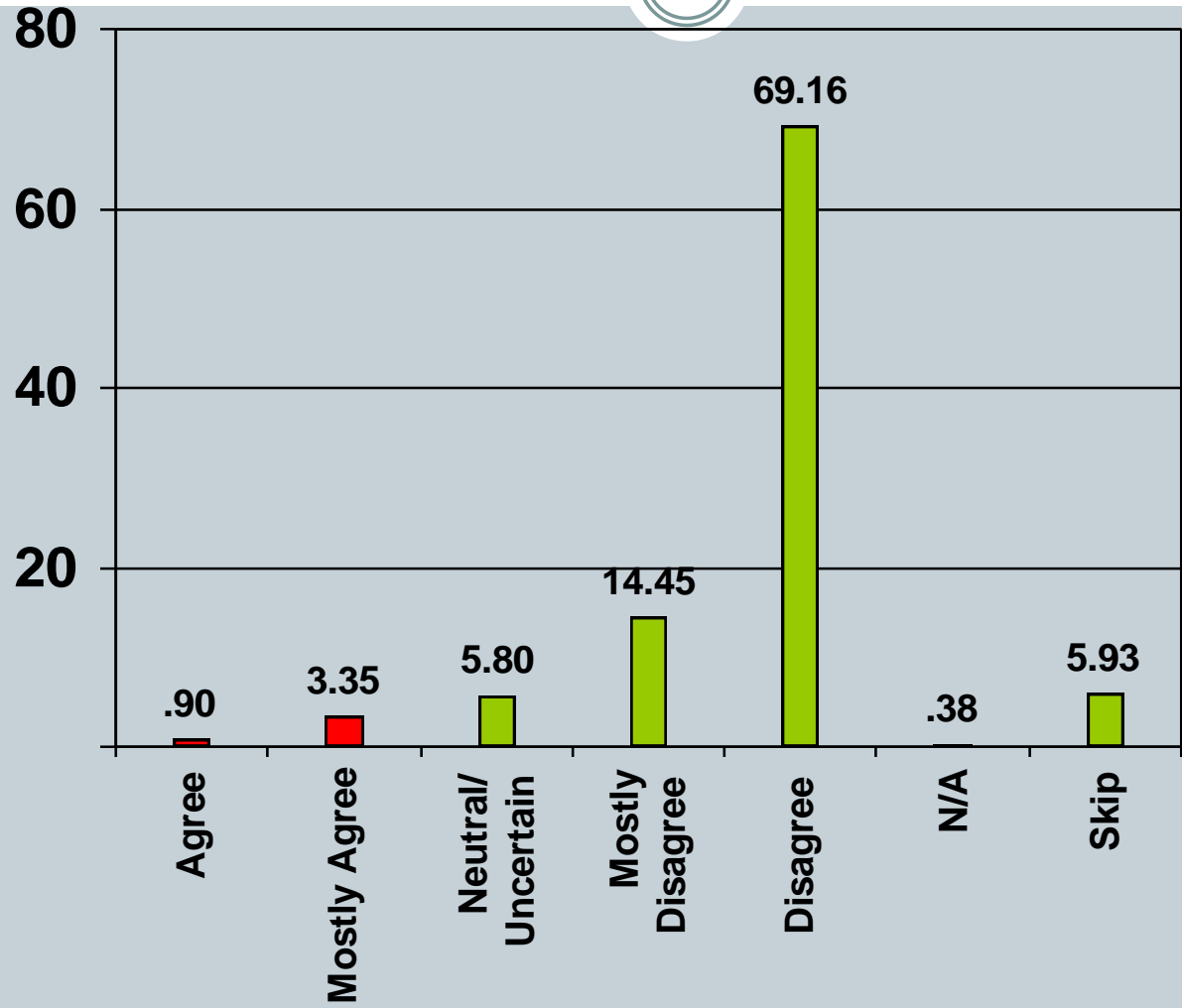
N= 777

Even if patients are technically eligible for a trial, they should only be recruited if being in the trial will be in their best medical interests.

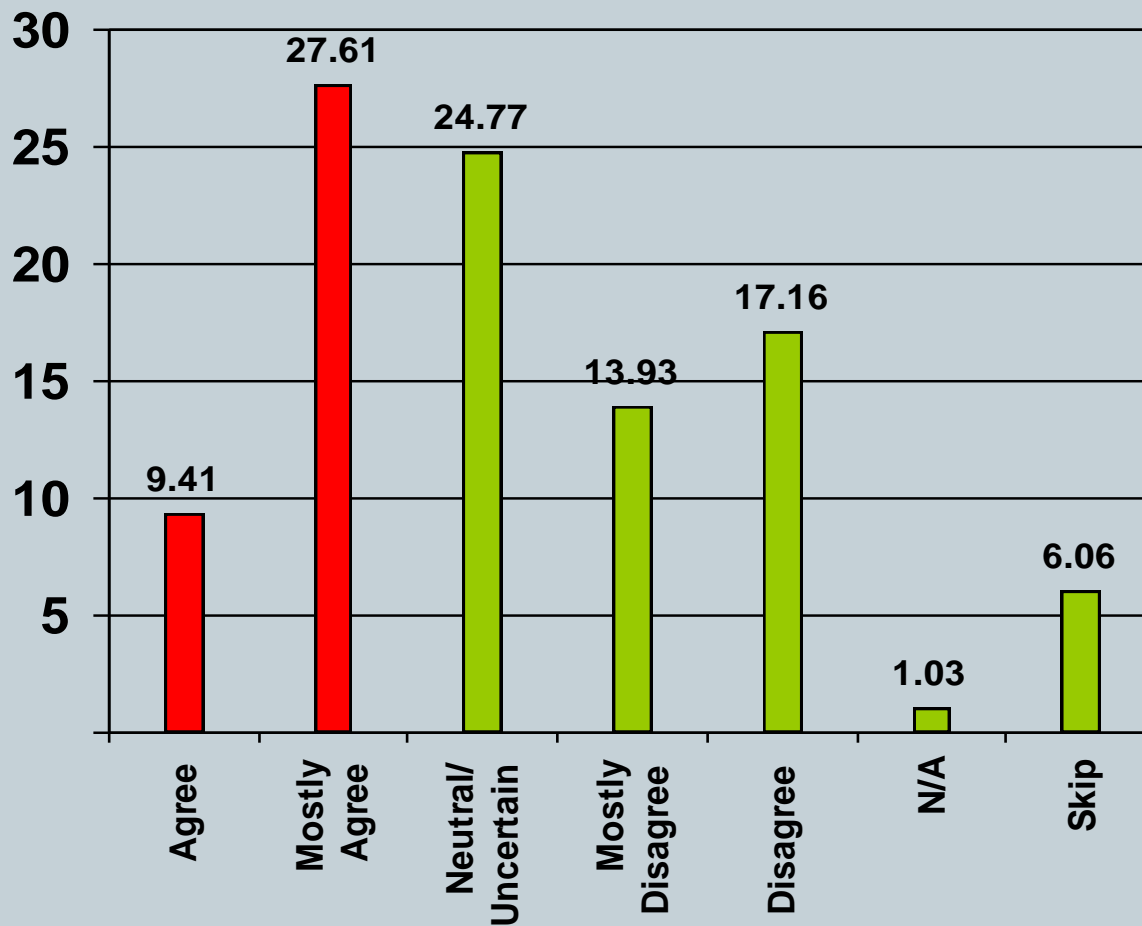




It is acceptable to disregard minor entry criteria if a patient will benefit from being in a trial.

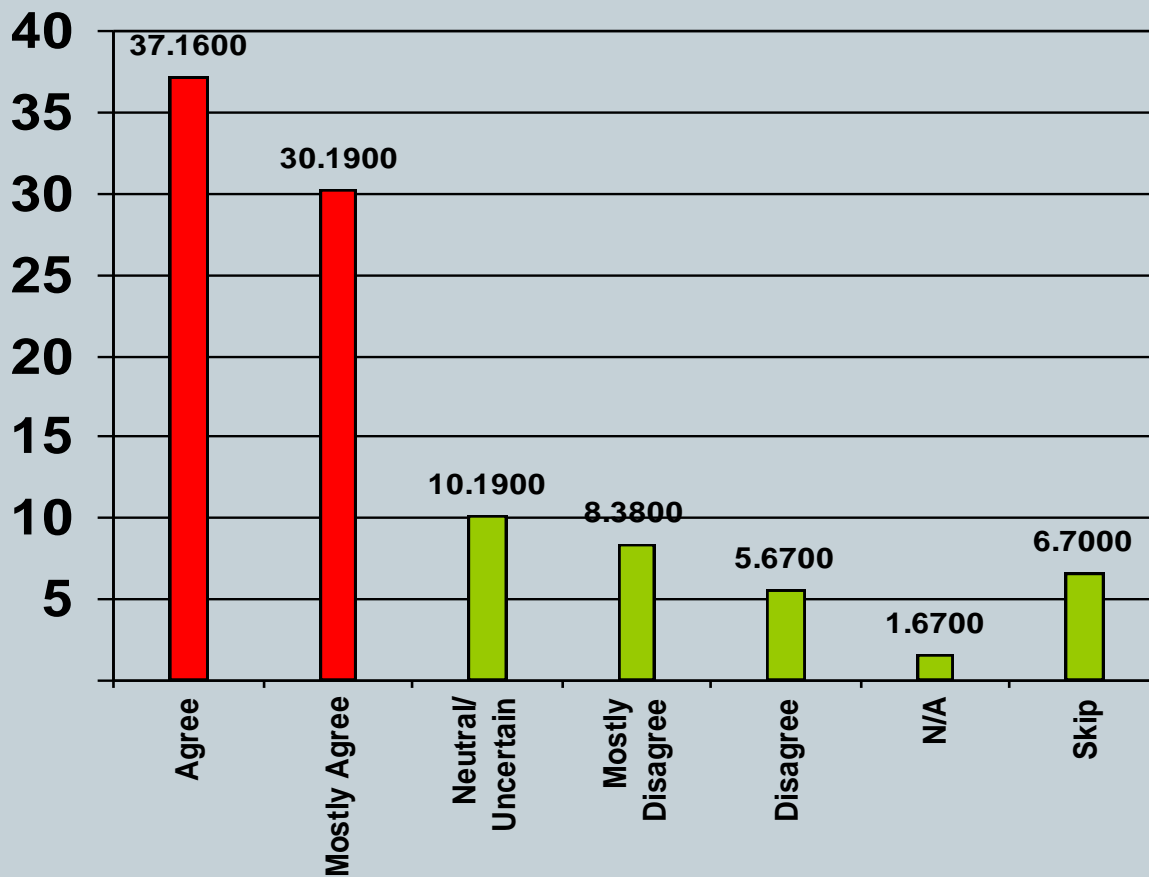


Patients who are not doing well with standard care should be recruited most actively so that being in the trial can help them.



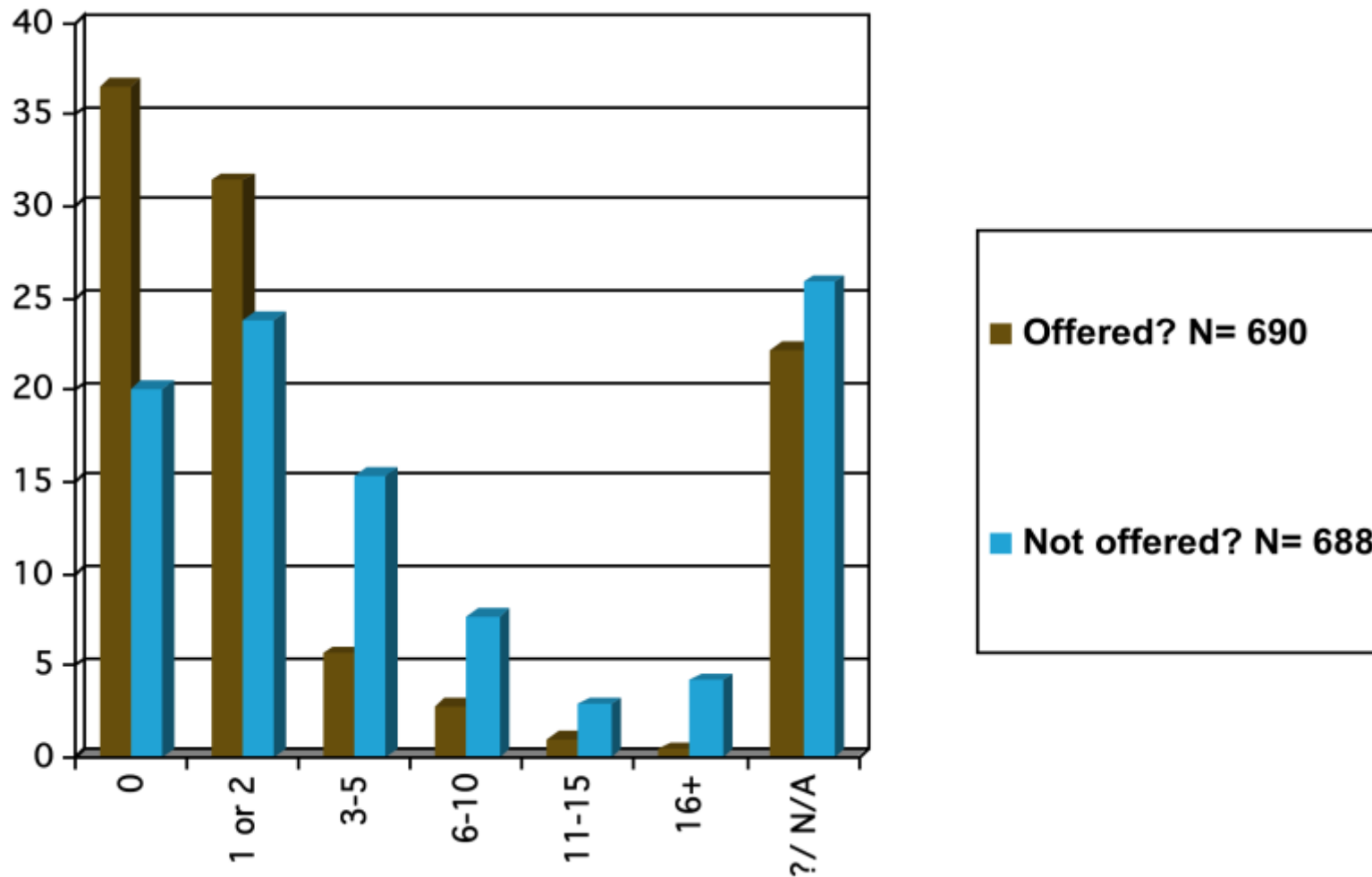
N= 777

Clinical judgment, rather than strictly following the protocol, should be the basis for deciding to remove subjects who are not doing well.

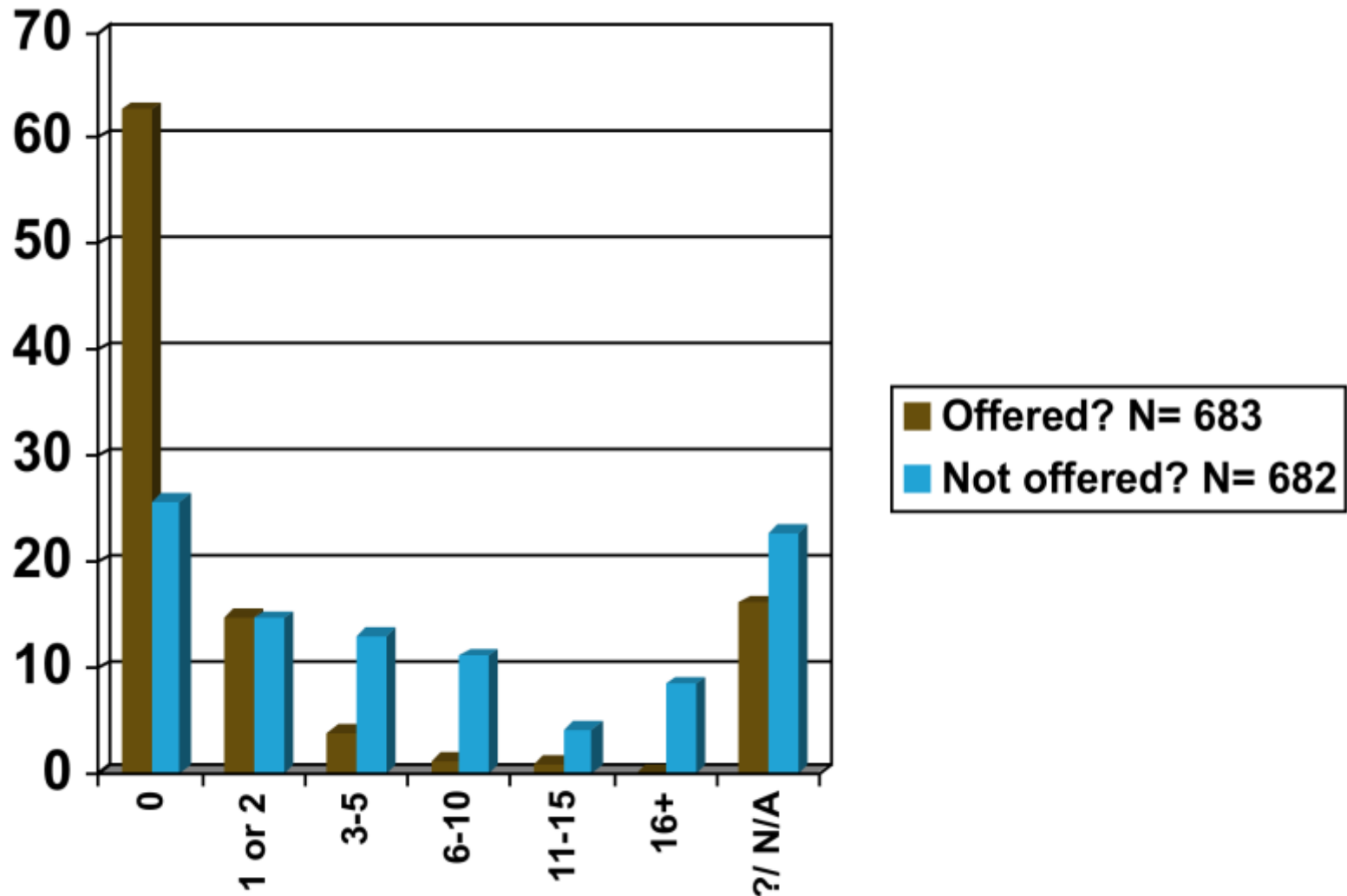


N= 777

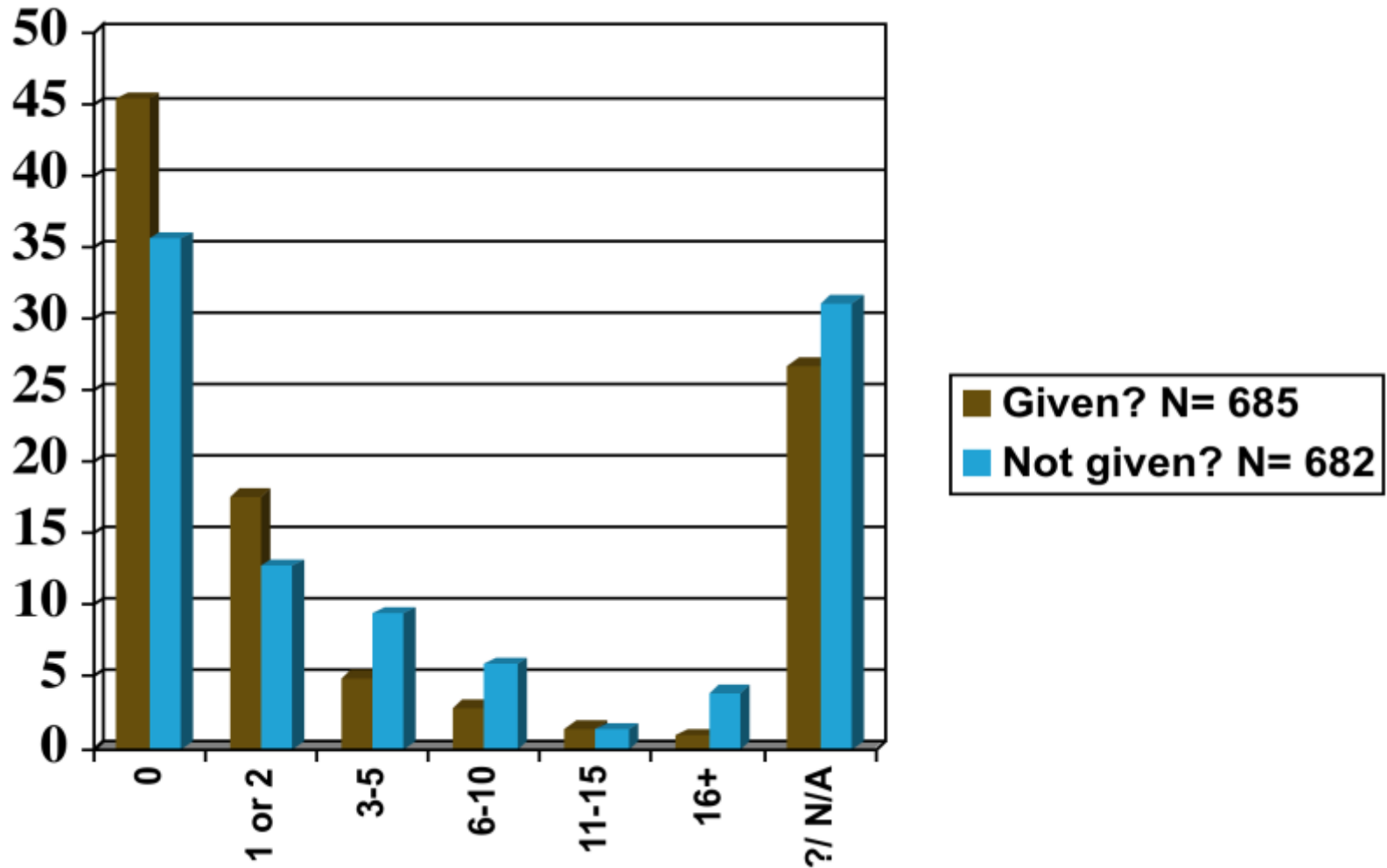
Think of all the times during the past 2 years when you had a patient who was eligible for a clinical trial, but being in the trial seemed *not* to be in the patient's best medical interests.



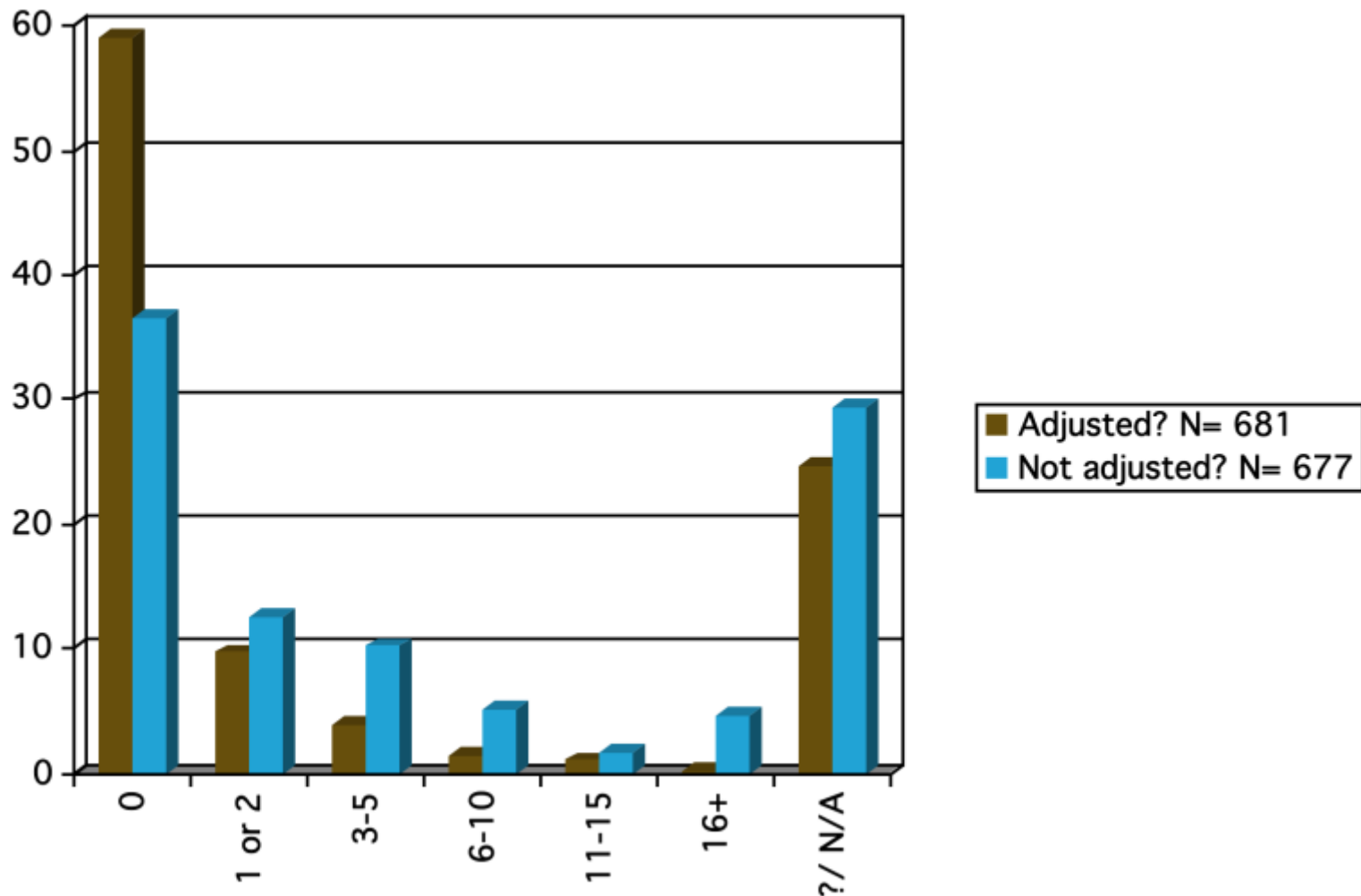
Think of all the times during the past 2 years when you have had a patient who was not technically eligible for a clinical trial, but being in the trial seemed to be in the patient's best medical interests.



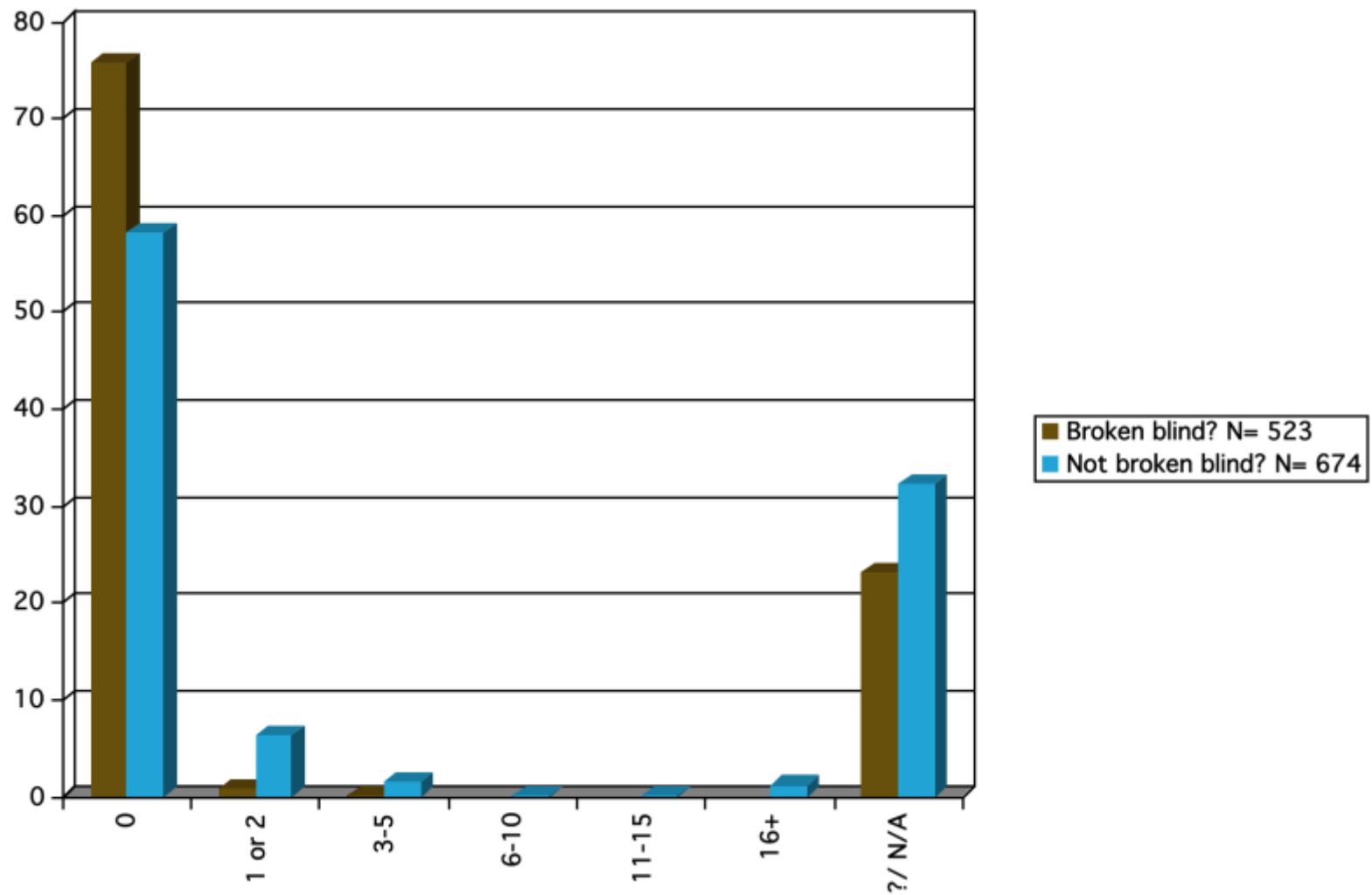
Think of all the times during the past 2 years when a medication was restricted by protocol, but giving the medication seemed to be in the subject's best medical interests.



Think of all the times during the past 2 years when adjusting the dose of a study medication seemed to be in the subject's best medical interests, but making the adjustment was not permitted by the protocol.

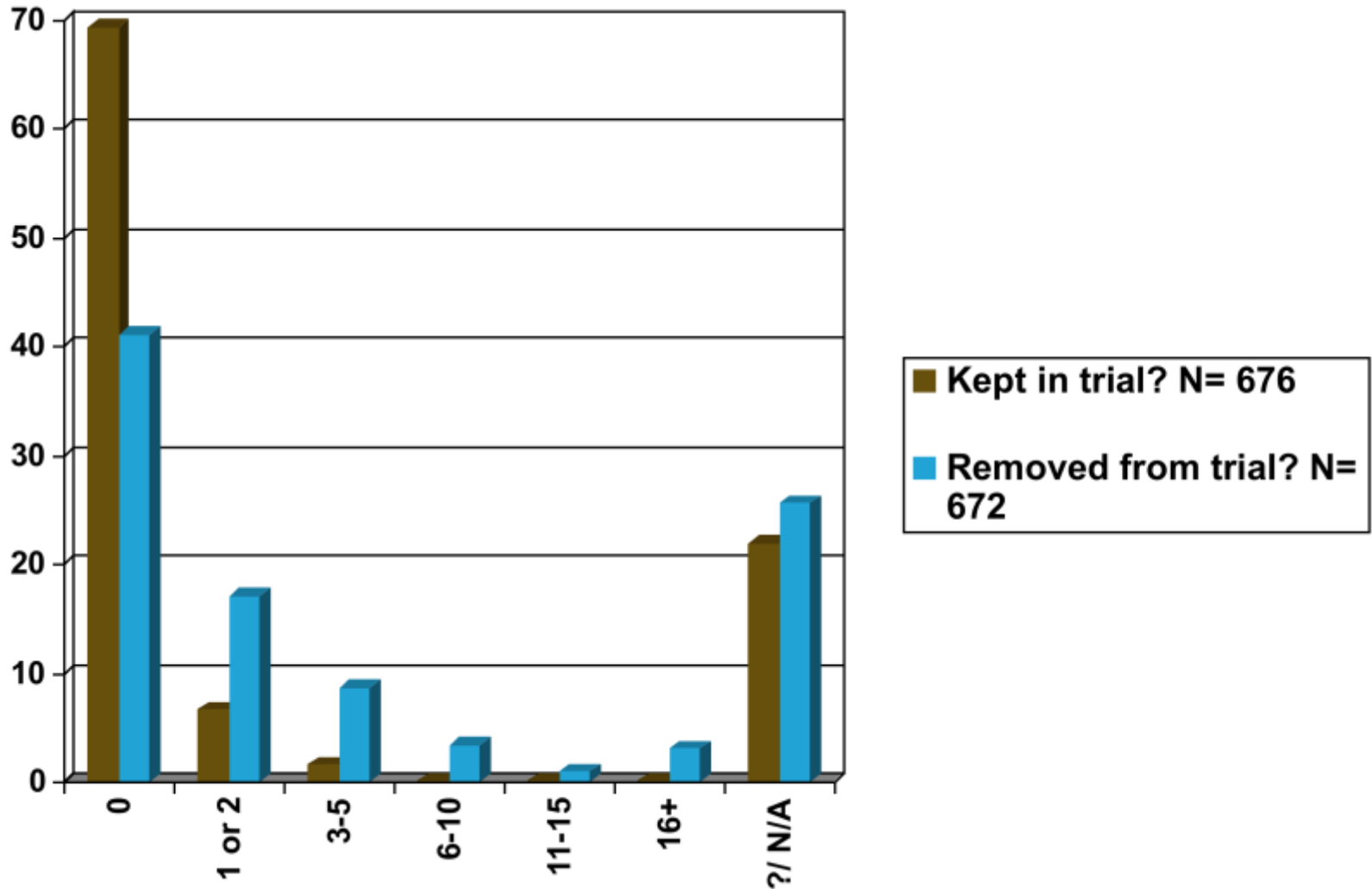


Think of the times during the past 2 years when breaking a blind without reporting it seemed to be in the subject's best medical interests.

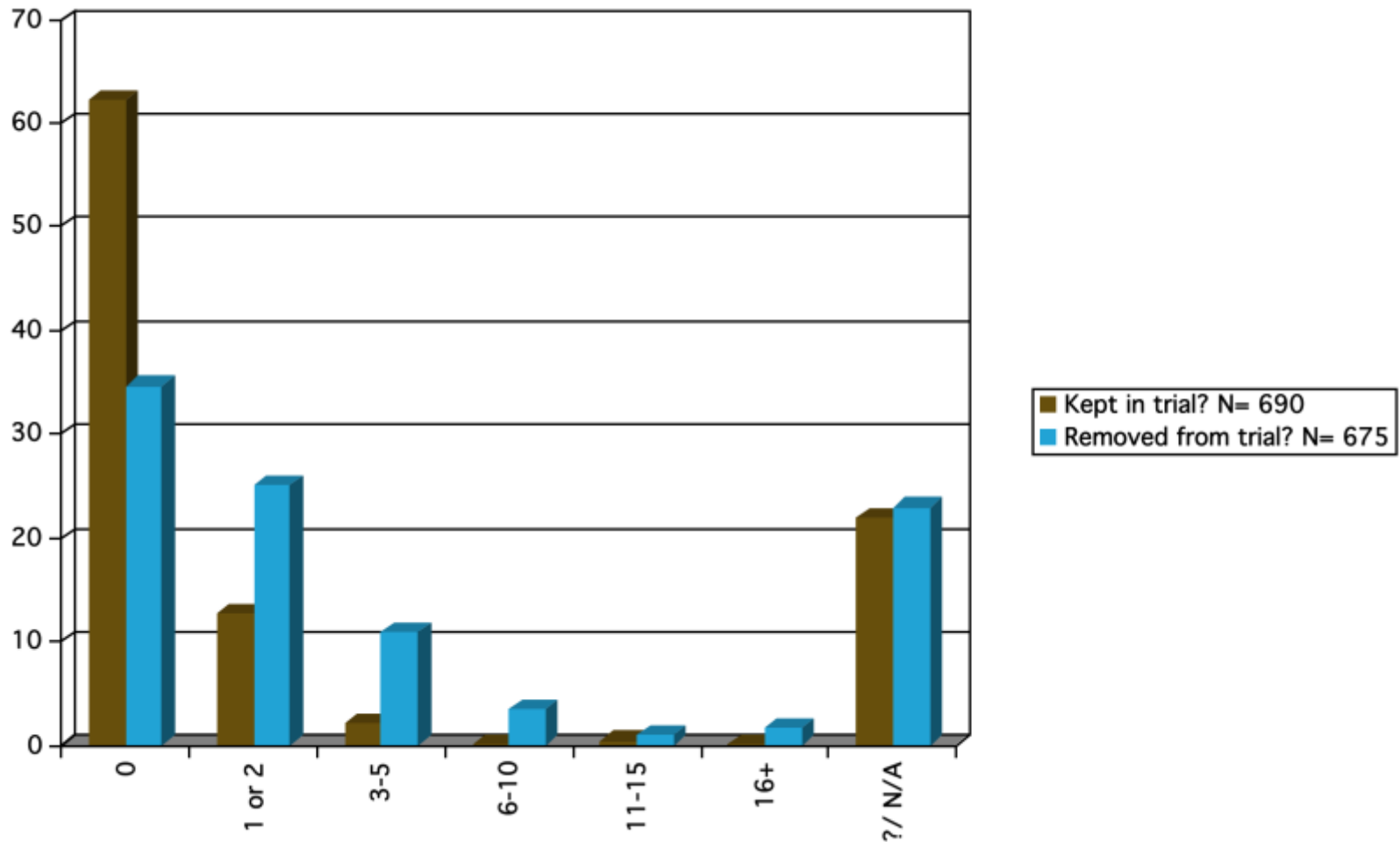




Think of all the times during the past 2 years when you had a subject who met termination criteria, but remaining in the trial seemed to be in the subject's best medical interests.



Think of all the times during the past 2 years when you had a subject who did *not* meet termination criteria, but remaining in the trial seemed to be contrary to the subject's best medical interests.



# What do we make of his?

27

- Most clinical researchers follow the rules
- Most researchers try to provide good care to patients within that context.
- A significant number of clinical researchers sometimes violate the protocol in the interests of good clinical care
- There is good reason to worry about biased samples
- Because, in many cases, statistical significance depends on only a few cases, these are important problems.